# PCT

#### WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:

A1

(11) International Publication Number:

WO 97/33715

B23K 26/14

(43) International Publication Date: 18 September 1997 (18.09.97)

(21) International Application Number:

PCT/NL97/00129

(22) International Filing Date:

13 March 1997 (13.03.97)

(30) Priority Data:

1002598

13 March 1996 (13.03.96)

NL

(71) Applicant (for all designated States except US): BOOTSMAN HOLDING B.V. [NL/NL]; P.O. Box 416, NL-2130 AL Hoofddorp (NL).

(72) Inventor; and

- (75) Inventor/Applicant (for US only): BOOTSMAN, Gerrit [NL/NL]; Graftermeerstraat 27, NL-2131 AA Hoofddorp
- (74) Agent: ASSENDELFT, Jacobus, Hendrikus, Wilhelmus; Maartensweer 13, NL-2265 DH Leidschendam (NL).

(81) Designated States: AL, AM, AT, AT (Utility model), AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, CZ (Utility model), DE, DE (Utility model), DK, DK (Utility model), EE, EE (Utility model), ES, FI, FI (Utility model), GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (Utility model), TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

### **Published**

With international search report.

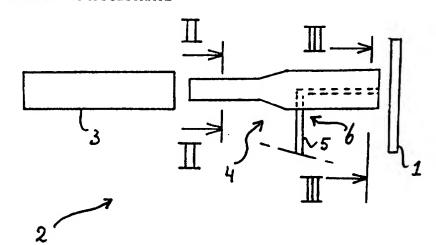
Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

In English translation (filed in Dutch).

### (54) Title: METHOD AND DEVICE FOR TREATING A SUBSTRATE

### (57) Abstract

Method, in which a substrate is subjected to a treatment medium while energy is fed from a laser beam, and in which treatment medium is first brought in the laser beam, then fed to the substrate with the laser beam and finally comes in contact with the substrate. A hollow bundle of glass fibres is e.g. used, feeding the treatment medium within it.



# FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	Œ	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgystan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic	SD	Sudan
CF	Central African Republic		of Korea	SE	Sweden
CG	Congo	KR	Republic of Korea	SG	Singapore
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LR	Liberia	SZ	Swaziland
CS	Czechoslovakia	LT	Lithuania	TD	Chad
CZ	Czech Republic	LU	Luxembourg	TG	Togo
DE	Germany	LV	Latvia	ТĴ	Tajikistan
DK	Denmark	MC	Monaco	TT	Trinidad and Tobago
EE	Estonia	MD	Republic of Moldova	UA	Ukraine
ES	Spain	MG	Madagascar	UG	Uganda
FI	Finland	ML	Mali	US	United States of America
FR	France	MN	Mongolia	UZ	Uzbekistan
GA	Gabon	MR	Mauritania	VN	Viet Nam

Method and device for treating a substrate.

The invention is in the field of changing the character of a substrate with energy form laser light and treatment medium.

- More in particular, though not exclusively, the invention is concerned with locally changing the surface or the surface layer of a substrate, e.g. to make a pattern in it.
  - It is e.g. known, to place a substrate in a chamber with a controlled atmosphere, while projecting light onto the
- substrate from one or more laser sources outside the chamber, to strongly heat the surface locally. One or more compounds from the atmosphere of the chamber are selected to make a change in the character of the surface of the substrate, such that a pattern is made in or on the substrate. A drawback of
- this known technique is the requirement, to use a chamber with a controlled atmosphere. This chamber is inconvenient for the positioning and removal of the substrate. The chamber restricts the dimensions and the type of substrate. The chamber at least results in extreme loss of treatment medium.
- 20 The chamber requires special measurements to arrive at the controlled atmosphere.

- It is known as well, to feed a protection gas concentrically around the laser beam to a substrate. This requires special feed nozzles for the protection gas. On the one hand, those are relatively expensive, on the other hand they restrict the
- area that can be covered by the laser beam. The amount of protection gas that is active during use, is low. The protection gas can diffuse into the environment relatively easy.
- 30 The object of the invention is to solve problems of the prior art, such as one or more of the above discussed problems.
  - The invention therefor proposes a method according to claim 1 and a device according to claim 5. Preferred further developments are indicated in the dependent claims.
- The invention is based on the recognition, that by feeding the treatment medium inside the laser beam, on the one hand there is a better protection against undemanded leakage of treatment medium into the atmosphere. On the other hand there is a larger contact area between the laser beam and the treatment

- 2 -

medium, such that the use of the treatment medium is more effective. The feed of treatment medium to the operating area does not obstruct the laser beam. It is found out that it is not necessary to use a seperate closed chamber, in which the substrate is treated by the treatment medium. With the invention, such closed chamber can be designed integrally with the feed element for the laser beam and the treatment medium. According to a preferred embodiment, the laser beam is hollow. "Hollow" means here, that a core area of the laser beam carries substantially no laser energy. In stead, the treatment medium flows through said "hollow" area to the position where the laser beam strikes the substrate.

5

10

15

With treatment medium a gas or a fluid or a mixture of both is meant here. It can be one or more protection compounds, or one or more compounds that chemically react with the material of the substrate, of combinations of both. With that, one can e.g. "write" on the substrate, e.g. by making the substrate changing of color.

With substrate each material that is adapted for processing treatment by a laser beam and one or more treatment mediums, is meant. Several plastics like Polyvinylchloride (PVC), (poly)ethylenes and -ethenes and metals, like ferro and aluminium alloys, but ceramic materials as well, are among those materials.

The hollow laser beam can be made by using different techniques. E.g. with one or more mirrors or lenses or combinations of one or more mirrors or lenses. Or with one or more optical fibres. E.g. with a bundle of optical fibres, e.g. glass fibres, the longitudinal ends of which, facing the substrate, provided in a ring pattern. Or one or more fibres have a core area carrying substantially no laser light. Such fibre is e.g. hollow, and the treatment medium is carried through said hole.

It is of course possible, to feed in another way further medium, e.g. a protection gas, to the position where the laser beam strikes the substrate. For that purpose there is e.g. a tube outside the laser beam, carrying said further medium, and

- 3 -

debouching just above the substrate, and e.g. moving with the laser beam with respect to the substrate.

The invention also comprises the use without special provisions to the character of the laser beam while the possibility is provided after all, to feed the treatment medium together with the laser beam, wherein the laser beam more or less "guides" the treatment medium and the treatment medium is inside the laser beam.

5

15

20

25

30

35

According to another embodiment of the invention, measurements are taken such that the energy of the laser beam is concentrated in the outer area while a space is left in a core area with at least a substantial lower energy density.

With "laser beam" each bundle or beam of electromagnetic wave energy of preferably coherent character and with substantially parallel, diverging or converging propagation, the radiation having a wave length that is preferably within the infra-red area, is meant.

An application that has preference at this moment, is making marks on the surface of the substrate. With "marks" an image of e.g. thing, but also characters, numbers, words, а drawings, etc., is meant. For that purpose the laser beam scans the surface of the substrate according predetermined pattern. For that purpose the substrate is e.g. rotated and/or translated with a laser beam that is held in a fixed position. Contrary, the laser beam can be rotated and/or translated with a substrate that is held in a fixed position. E.g. by deflecting the laser beam according to a predetermined Or by displacement or the laser beam Combinations of movement of the laser beam and the substrate are feasible as well. Other methods for scanning the laser beam over the substrate will be obvious to the skilled person. While the laser beam scans the surface of the substrate, said surface of the substrate is subjected to the heat and/or the radiation from the laser beam. Depending on the material of the substrate, the influence of the laser beam itself can provide a change in the character of the surface or surface

layer of the substrate. Then the treatment medium is e.g. used

- 4 -

to control said change. Said treatment medium is then e.g. a protecting compound, to prevent an unwanted change by virtue of the influence of one or more compounds from the surrounding environment (usually the atmosphere). It is feasible as well, that the laser beam carries out a pretreatment of the surface of the substrate, e.g. melts or heats to a convenient temperature, and the treatment medium that is fed with the laser beam, then acts on the substrate.

In stead of treatment of the surface layer, treatment of a deeper layer of the substrate is possible as well. For that purpose, e.g. two laser beams are focussed on the same point beneath the surface layer of the substrate. Treatment medium then can be fed through one of said both, or both, laser beams. The treatment medium is then used to protect the surface layer of the substrate, that is subjected to the laser beam as well, against unwanted changes.

Halogens can be used as the treatment medium as well. Other comounds are possible as well.

It will be clear to the skilled person, how to put the invention into practice. There is no need any more for a chamber, in which the substrate is subjected to a controlled environment. In fact, the laser beam locally makes such chamber. An implement is required to position the substrate. A laser beam generator is required, generating a laser beam of required character. A source of treatment gas is required. a means to feed the treatment gas together with the laser beam, according to the invention, is required. And a means to guarantee that the laser beam strikes the substrate at the predetermined position is required.

30 In the following a description is given of a now preferred embodiment, referring to the enclosed drawings. The drawing shows in fig. 1 a schematic side view of a device according to the invention. Fig. 2 and 3 show cross sectional views according to the lines II-II resp. III-III in fig. 1.

Fig. 1 shows a substrate that is to be treated with the device 2. This device 2 has a laser beam generator 3, a bundle of opticle fibres 4 and a feed tube 5 for treatment medium. The

5

10

15

20

35

- 5 -

substrate is mounted on a treatment table, that is moveable with respect to the device 2 in its plane, such that the laser beam can scan the substrate 1.

The bundle 4 is "solid" close to the generator 3, i.e. the seperate fibres are packed with the smallest spacing possible into a substantial circle shaped section (refer to fig. 2). As a consequence, all energy from the generator is received by the bundle and transferred further. The shape and dimension of the bundle 4 is therefor adapted to the laser beam coming from the generator 3. More towards the substrate 1, the seperate fibres are rearranged such that a hollow core is made (refer to fig. 3). The tube 5 extends within said hole. The tube 5 is conveniently led through the bundle 4 from the outside, as will be clear to the skilled person. Based on Gaussian energy-distribution of the laser convenient rearrangement of the seperate fibres around the tube 5 is achieved. With that, e.g. a smooth circumferential energy distribution can be met for the bundle. By way of alternative, a predetermined change in the energy distribution around the circumference of the bundle can be made. The tube 5 and the bundle 4 end relatively close above the surface of the substrate. Said distance is selected such that treatment medium, flowing out of the tube 5, substantially flows over the surface of the substrate to do its job.

The bundle 4 ends at a distance to the substrate 1 which is selected such that, preferably as a function of the flow rate and pressure of the stream coming out and one or more physical properties like viscosity, substantially all treatment medium flowing out of the bundle 4 first strikes the substrate, before leaving the laser beam, should some of the treatment medium have remained after contact with the substrate. This size of the gap can be determined by trail and error.

Apart from the embodiment as described and shown above, other alternatives according to the invention are of course possible as well. It can e.g. be taken care that the bundle 4 is substantially medium tight. Then it is no longer necessary that the tube 5 extends through the bundle 4. Or the tube 5

- 6 -

can end at a large distance from the substrate 1 within the bundle 4. When the bundle 4 can be flexed easily, such flexure can be used to scan the substrate with the laser beam. Depending on the embodiment, the tube 5 or the feed tube to the tube 5 must be made flexible. According to alternative the bundle 4 can have a section according to fig. 2 over its complete length, while it is surrounded by a medium The treatment medium can then flow to the tight shell. substrate within the space between the neighbouring seperate 10 fibres of the bundle 4. In stead of a bundle 4 with a circle shaped section, other shapes for the section can be selected. With use of glass fibre bundles for leading the laser light, the pattern of the laser light striking the substrate can e.g. be adapted by groupwise focussing light coming from seperate glass fibres, such that areas are created on the substrate in which the laser ligth is focussed, which are alternating with areas in which the intensity of the laser light is substantially weaker. With that, a ring pattern can e.g. be made, in which the intensity of the laser energy, moving along the pattern, varies substantially. Writing by changing the color of the substrate with the aid of the treatment medium (e.g. a halogene) is a method that alternatively independent from claim 1, that thus can possibly be carried out with a feed of treatment medium outside the beam. "Change of color" preferably means substantially affecting the coherence of the substrate, i.e. without e.g. burning or carbonizing or without substantial melting.

15

20

- 7 -

## Claims

Method, in which a substrate is subjected to a treatment medium while energy is fed from a laser beam,
 and in which treatment medium is first brought in the laser beam, then fed to the substrate with the laser beam and finally comes in contact with the substrate.

- 2. Method according to claim 1, wherein the laser beam has one or more holes, running in its lengthwise direction, and treatment medium is fed to the substrate through one or more of said holes, and possibly wherein the laser beam bridges a gap to the substrate, which gap has dimensions such that substantially no treatment medium leaves the laser beam, before it strikes the substrate.
  - 3. Method, possibly according to any of the proceeding claims, wherein the surface or the surface layer of the substrate is treated with a suitable treatment medium to change one or more of its properties, and possibly wherein the laser beam is moved over the substrate according to a predetermined pattern to make a mark on the substrate, preferably by feeding treatment medium to make a color change of the substrate.

- Method according to any of the proceeding claims, which
   is carried out in free space.
- 5. Substrate treatment device, with a substrate mount, a laser beam generator and a source of treatment medium, wherein the laser beam generator is adapted to generate a laser beam such that treatment medium from the source of treatment medium can be guided to the substrate by the laser beam, and possibly wherein a hollow laser beam can be generated, and there are means to bring treatment medium into the hollow laser beam and guide it to the substrate with the laser beam.
- Device according to claim 5, wherein there are one or more optical fibres that are adapted to develop the preferred laser beam, and possibly wherein there are one

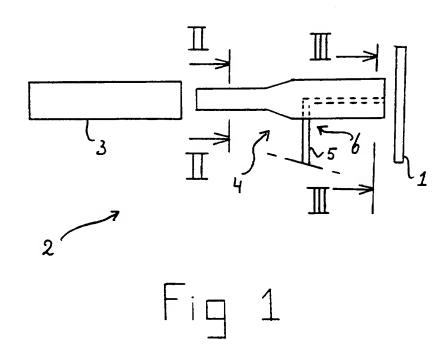
- 8 -

or more holes in lengthwise direction of said one or more fibres, in which a feed of treatment medium debouches.

- 7. Device according to claim 6, wherein several of those fibres are positioned in a ring pattern with their longitudinal ends facing the substrate, to generate a hollow laser beam.
  - 8. Device according to claim 6 or 7, wherein the bundle of optical fibres is designed to be substantially medium tight, such that the treatment medium can be led through substantially leak free.

10

- 9. Device according to claim 5, possibly in combination with one of the claims 6 or 7, wherein one or more optical elements, such as lenses or mirrors, are positioned to generate the preferred laser beam.
- 10. Device according to any of claims 5 9, wherein there are means to scan the substrate with the laser beam according to a predetermined pattern.





# INTERNATIONAL SEARCH REPORT

Inter nal Application No PCT/NL 97/00129

A. CLASSI	IFICATION OF SUBJECT MATTER B23K26/14		
According to	o International Patent Classification (IPC) or to both national class	sification and IPC	
B. FIELDS	SEARCHED		
Minimum d IPC 6	ocumentation searched (classification system followed by classification because B23K	ation symbols)	
Documenta	tion searched other than minimum documentation to the extent that	such documents are included in the fields s	searched
Electronic d	lata base consulted during the international search (name of data ba	ase and, where practical, search terms used)	
	· ·		
C DOCUM	MENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the	relevant nassages	Relevant to claim No.
, , , , , , , , , , , , , , , , , , ,			
х	DE 39 06 571 A (FESTO KG) 6 Sept see the whole document	ember 1990	1-8
х	WO 93 19888 A (CAULDRON LP ;ENGE		1-8
	AUDREY C (US); DEHAIS JOSEPH A ( October 1993	US)) 14	
]	see page 15, line 27 - page 16,	line 10;	
	figures 5,6,8,10,11	•	
х	PATENT ABSTRACTS OF JAPAN		1-4
	vol. 008, no. 197 (M-324), 11 Se 1984	ptember	
	& JP 59 087994 A (ISHIKAWAJIMA	HARIMA	
	JUKOGYO KK), 21 May 1984,		
	see abstract		
		-/	
X Furt	her documents are listed in the continuation of box C.	X Patent family members are listed	in annex.
* Special ca	tegories of cited documents:	"T" later document published after the int	
	ent defining the general state of the art which is not ered to be of particular relevance	or priority date and not in conflict wi cited to understand the principle or the invention	
"E" carlier filing	document but published on or after the international date	"X" document of particular relevance; the cannot be considered novel or cannot	
which	ent which may throw doubts on priority claim(s) or is cited to establish the publication date of another	involve an inventive step when the do	ocument is taken alone
'O' docum	n or other special reason (as specified) ent referring to an oral disclosure, use, exhibition or	cannot be considered to involve an in document is combined with one or m	ventive step when the ore other such docu-
other i	ent published prior to the international filing date but	ments, such combination being obvio in the art.	•
later ti	actual completion of the international search	'&' document member of the same patent	
Date of the	econal compression of the intermedicial search	Date of mailing of the international set $0.9.0\%$ .	aten report
2	0 May 1997	0 3' 91.	
Name and r	mailing address of the ISA	Authorized officer	
	European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,		
	Fax: (+31-70) 340-3016	Aran, D	

## INTERNATIONAL SEARCH REPORT

Inte. anal Application No
PCT/NL 97/00129

		PCT/NL 9//00129
C.(Continu	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
x	PATENT ABSTRACTS OF JAPAN vol. 008, no. 197 (M-324), 11 September 1984 & JP 59 087995 A (ISHIKAWAJIMA HARIMA JUKOGYO KK), 21 May 1984, see abstract	1-4
X	PATENT ABSTRACTS OF JAPAN vol. 005, no. 010 (M-051), 22 January 1981 & JP 55 141392 A (AGENCY OF IND SCIENCE & TECHNOL), 5 November 1980, see abstract	1-4
X	DE 44 15 783 A (ROTHE RUEDIGER PROF DR ING) 10 August 1995 see the whole document	1-4
		ı

# INTERNATIONAL SEARCH REPORT

information on patent family members

Inter. nal Application No PCT/NL 97/00129

Patent document cited in search report	Publication date _	Patent family member(s)	Publication date
DE 3906571 A	06-09-90	NONE	
WO 9319888 A	14-10-93	AU 673519 B AU 3932593 A CA 2132332 A CN 1078415 A CZ 9402382 A EP 0633823 A FI 944526 A JP 7505577 T NO 943626 A US 5531857 A	14-11-96 08-11-93 01-10-93 17-11-93 14-02-96 18-01-95 29-09-94 22-06-95 29-09-94 02-07-96
DE 4415783 A	10-08-95	NONE	